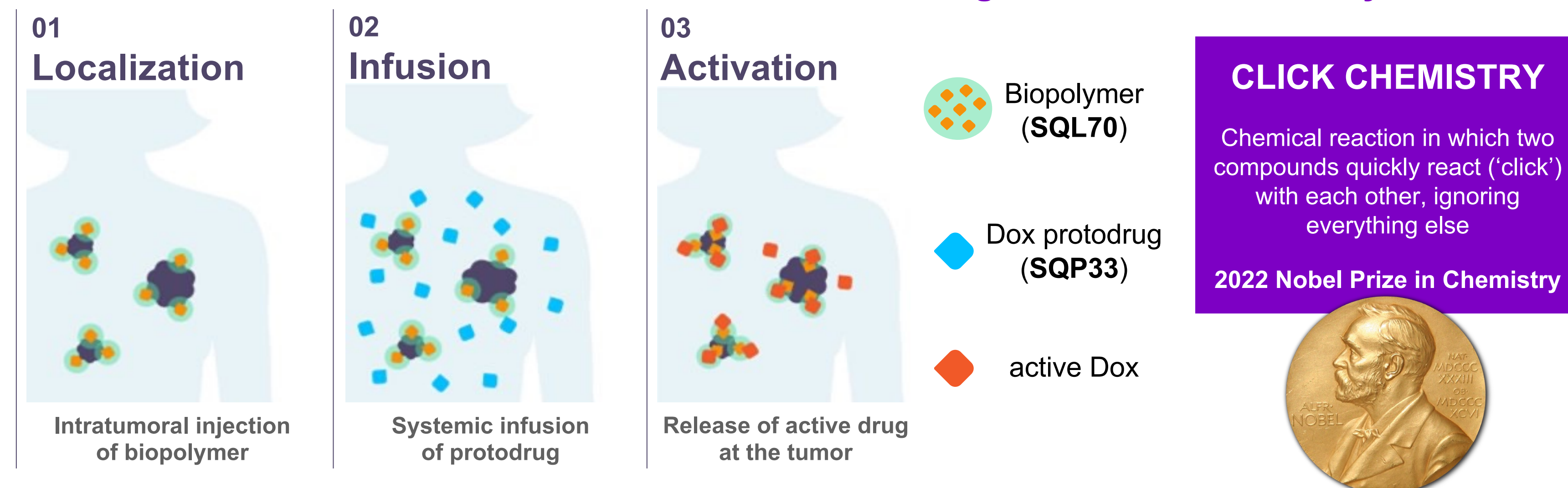


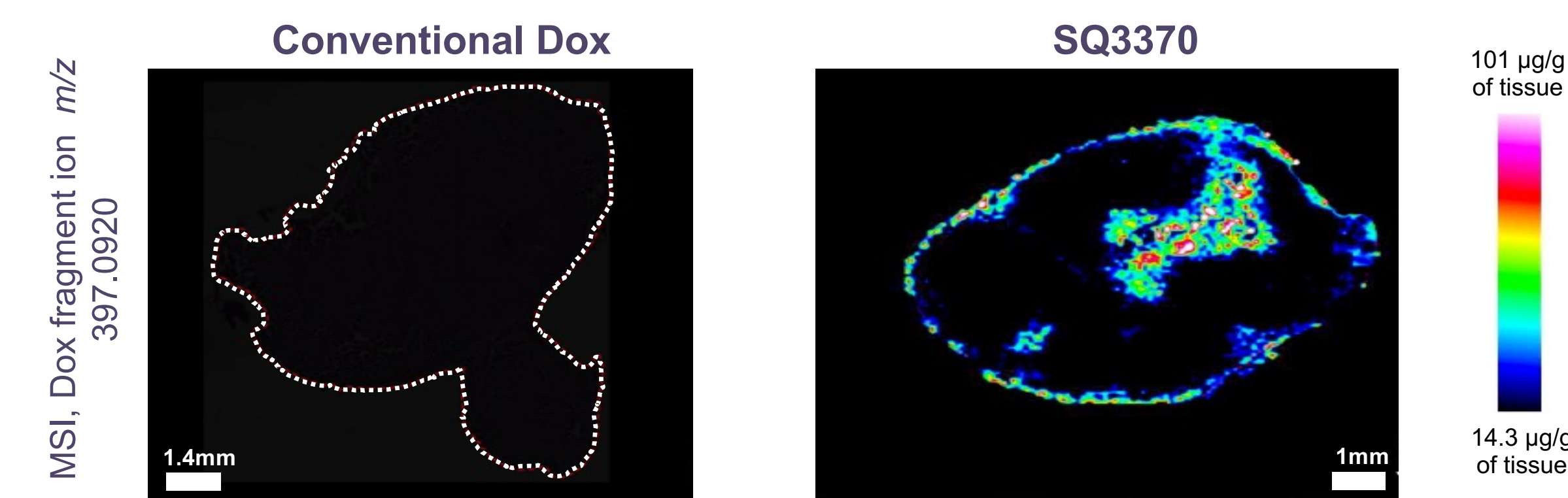
## SQ3370 - First Therapeutic Using Click Chemistry in Humans

### SQ3370 localizes active Dox at the tumor using *in vivo* Click Chemtry<sup>1,2</sup>



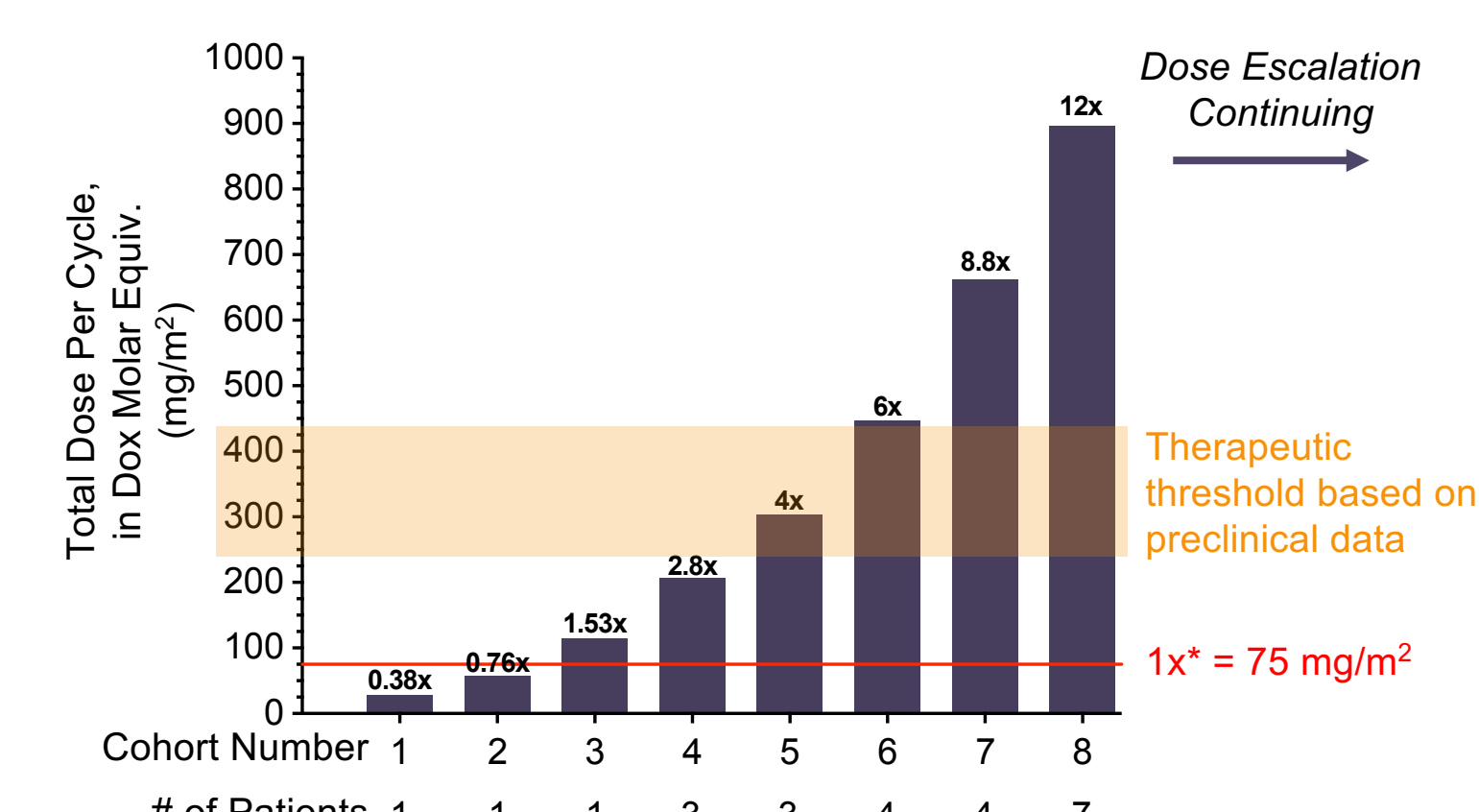
**Fig 1. Local tumor targeting using Click Chemistry.** An inert, systemically administered prodrug (SQP33) is captured and activated by the biopolymer (SQL70) at the tumor via a covalent click chemistry reaction, which is followed by a chemical rearrangement to release active Dox.

### SQ3370 delivers unprecedented levels of active Dox to the tumor<sup>3</sup>



**Fig 2. SQ3370 enables previously unachievable levels of Dox exposure at the tumor that can unlock new biological effects<sup>3</sup>.** MC38 tumor-bearing mice were treated with conventional Dox (IV, QDx2, 8.1mg/kg/dose) or SQ3370 [SQL70 intratumorally, SQP33 (IV, QDx2, 78.6 mg/kg/dose Dox molar eq)]. MALDI imaging of SQ3370-treated tumors (right) at 1h after last dose detected high Dox levels that correlated with advanced necrosis (not shown), while that of conventional Dox-treated tumors (left) did not.

### SQ3370 is the first-in-human Click Chemistry therapeutic



	TEAE's in > 25% of patients**			
	12x (N=5)		Total (N=22)	
Preferred Term	All N (%)	Grade≥3 N (%)	All N (%)	Grade≥3 N (%)
Nausea	3 (60.0)	0	13 (59.1)	1 (4.5)
Fatigue	3 (60.0)	1 (20.0)	11 (50.0)	1 (4.5)
Anaemia	1 (20.0)	1 (20.0)	6 (27.3)	3 (13.6)
Constipation	1 (20.0)	0	6 (27.3)	0

**Fig 3. SQ3370 Phase 1 shows enhanced exposure and safety at 12x.** The clinical proof-of-concept for the CAPAC platform in humans has been achieved. SQ3370 is not a vesicant, dose-limiting toxicity (DLT) has not been identified to date. Myelosuppression has not been dose limiting. Dose escalation is on-going. \*1x equals the molar equivalent dose of 75 mg/m<sup>2</sup> of conventional Dox. \*\*Treatment emergent adverse events (TEAE) in >25% of patients regardless of causality. Source: SQ3370-001; Data cut: 2022-04-01; ClinicalTrials.gov Identifier: NCT04106492.

Here, we examine immune-associated changes in the tumor microenvironment after SQ3370 treatment using patient biopsies and syngeneic tumor models.

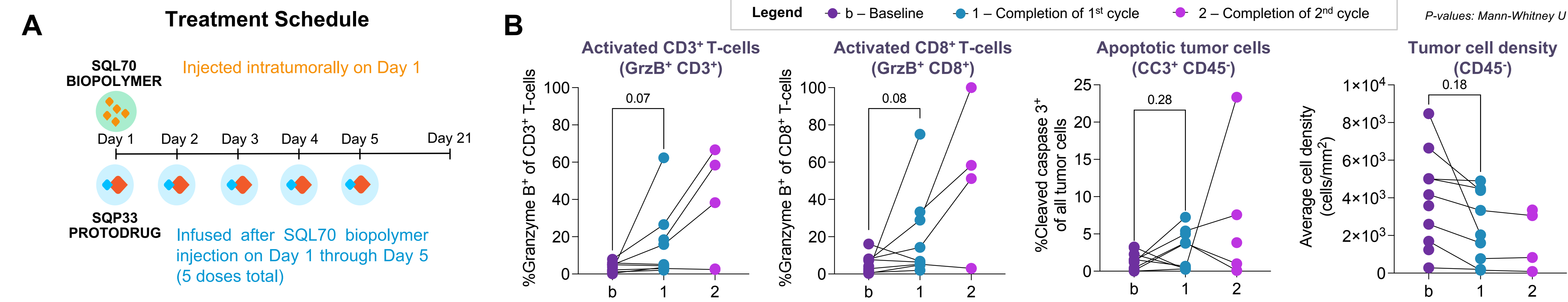
## References

1. Wu et al., *Chem. Sci.* 2021, DOI: 10.1039/d0sc06099b.
2. Srinivasan et al., *Adv. Therap.* 2021, DOI: 10.1002/adtp.202000243.
3. McFarland et al., *World ADC* 2022, Poster #21.

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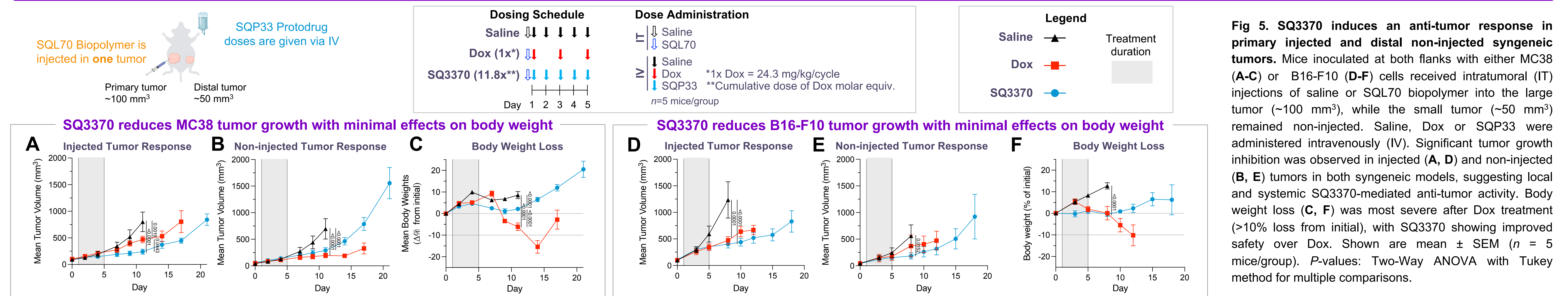
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## SQ3370 Activates Anti-Tumor Immune Responses in Human Tumors



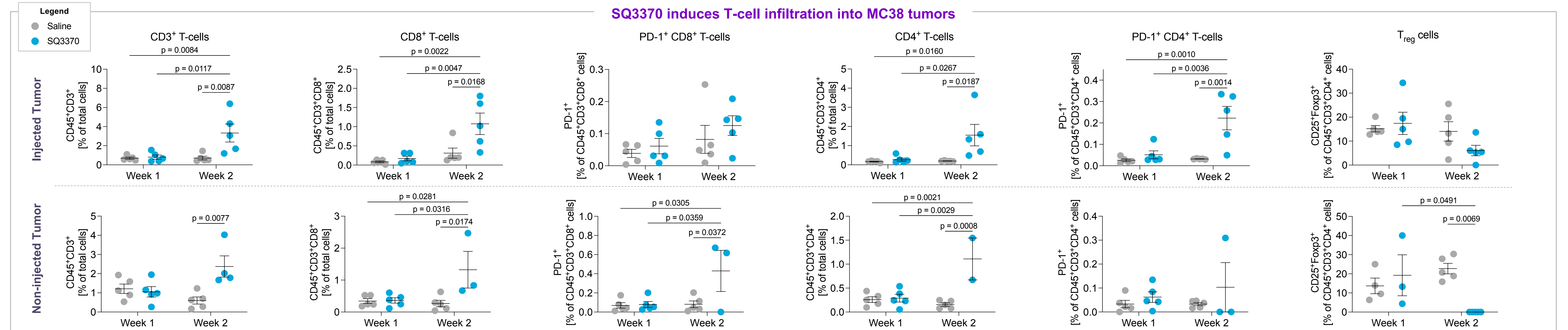
**Fig 4. Assessment of anti-tumor immune cell activity and apoptosis in patient biopsies.** Tumor biopsies were collected from injected lesions during the Phase 1 clinical trial (A) at baseline and after each of the initial two cycles. Tumors from patients [cohort 4x (N=3), 6x (N=3), 8.8x (N=4), and 12x (N=2)] were analyzed by multiplex IHC (B). Increased cytotoxic activity of CD3<sup>+</sup> and CD8<sup>+</sup> T-cells was assessed by granzyme B (GrzB) expression. GrzB released from T-cells induces apoptotic death of target cells by caspase-dependent mechanisms. Tumor cell apoptosis was confirmed by cleaved caspase 3 (CC3) staining. Tumor cells were defined as CD45<sup>+</sup> due to lack of cytokeratin expression in many sarcoma biopsies. Tumor cell density decreased after SQ3370, suggesting treatment efficacy in tumors of this highly pre-treated, advanced stage patient population. 1x = 75 mg/m<sup>2</sup> of conventional Dox.

## SQ3370 Activates Anti-Tumor Immune Responses in Animal Tumors



**Fig 5. SQ3370 induces an anti-tumor response in primary injected and distal non-injected syngeneic tumors.** Mice inoculated at both flanks with either MC38 (A-C) or B16-F10 (D-F) cells received intratumoral (IT) injections of saline or SQL70 biopolymer into the large tumor (~100 mm<sup>3</sup>), while the small tumor (~50 mm<sup>3</sup>) remained non-injected. Saline, Dox or SQP33 were administered intravenously (IV). Significant tumor growth inhibition was observed in injected (A, D) and non-injected (B, E) tumors in both syngeneic models, suggesting local and systemic SQ3370-mediated anti-tumor activity. Body weight loss (C, F) was most severe after Dox treatment (>10% loss from initial), with SQ3370 showing improved safety over Dox. Shown are mean ± SEM (n = 5 mice/group). P-values: Two-Way ANOVA with Tukey method for multiple comparisons.

### SQ3370 induces T-cell infiltration into MC38 tumors



**Fig 6. SQ3370 induces distinct T-cell responses in primary and distal syngeneic tumors.** Injected and non-injected MC38 tumors (described in Fig. 3) were harvested one or two weeks after treatment completion and analyzed by polychromatic flow cytometry (CD45, CD3, CD4, CD8, PD-1, CD25, Foxp3). SQ3370 significantly increased T-cell infiltration (CD3<sup>+</sup>, CD8<sup>+</sup>, CD4<sup>+</sup> subsets) after two weeks in injected and non-injected tumors compared to saline and week 1 samples. PD-1 showed distinct expression after two weeks in injected (increased PD1<sup>+</sup>CD4<sup>+</sup> T-cells) and non-injected (increased PD-1<sup>+</sup>CD8<sup>+</sup> T-cells) tumors. T regulatory (T<sub>reg</sub>) cells significantly decreased after two weeks in non-injected tumors compared to saline. Shown are mean ± SEM (n=2-5/group) as a percentage of total or marker-gated (CD4<sup>+</sup> or CD8<sup>+</sup>) cells. P-values: Two-Way ANOVA with Tukey method for multiple comparisons.

## Conclusions

- SQ3370 is the only click chemistry therapeutic in humans, delivering doxorubicin specifically to tumors.
- SQ3370 reduces growth of primary injected and distal non-injected syngeneic tumors, suggesting that systemic SQ3370-mediated anti-tumor immune activation contributes to its efficacy.
- T-cell infiltration is significantly increased in primary and distal syngeneic tumors two weeks after SQ3370 dosing completion.
- Tumor-infiltrating T-cells express PD-1 two weeks after SQ3370 treatment, suggesting that antigen-specific, potentially tumor-reactive T-cells infiltrate the tumor microenvironment.
- Increased cytotoxic T-cell activity after SQ3370 was confirmed in patient biopsies and indicates an increase in tumor cell death.
- SQ3370 can promote a shift from an immune suppressive towards a T-cell permissive / supportive tumor immune microenvironment in clinical and nonclinical tumors.